



*DM*

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8**  
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:  
Date: May 13, 2004 Name: Lawrence G. Almeda Signature:

**BRINKS  
HOFFER  
GILSON  
& LIONE**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Appln. of: **THOMAS A. OSBORNE**  
Appln. No.: **10/776,721**  
Filed: **February 11, 2004**  
For: **REMOVABLE VENA CAVA FILTER**  
Attorney Docket No: **8627-451**

Examiner:  
Art Unit:

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL**

Sir:

**Attached is/are:**

- ☒ Information Disclosure Statement and Form PTO-1449.  
☒ Return Receipt Postcard

**Fee calculation:**

- ☒ No additional fee is required.  
☐ Small Entity.  
☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).  
☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_).  
☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 43=			x \$86=	
First Presentation of Multiple Dep. Claim					+\$145=			+\$290=	
					Total	\$		Total	\$

**Fee payment:**

- ☐ A check in the amount of \$\_\_\_\_\_ to cover the above-identified fee(s) is enclosed.  
☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.  
☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).  
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

Lawrence G. Almeda (Reg. No. 46,151)

May 13, 2004  
Date



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: THOMAS A. OSBORNE  
Appln. No.: 10/776,721  
Filed: February 11, 2004  
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Art Unit:

INFORMATION DISCLOSURE STATEMENT

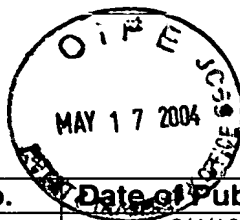
Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant cites the following references (each listed for the Examiner's convenience on the enclosed Form PTO-1449):

Document No.	Date of Publication	Patentee/Applicant/Assignee
2,281,448	4/28/1942	Mathey
3,174,851	3/23/1965	Buehler
3,334,629	8/8/1967	Cohn
3,540,431	11/17/1970	Mobin-Uddin
3,868,956	3/4/1975	Alfidi et al.
3,952,747	4/27/1976	Kimmell, Jr.
4,425,908	1/17/1984	Simon
4,494,531	1/22/1985	Gianturco
4,619,246	10/28/1986	Molgaard-Nielsen et al.
4,643,184	2/17/1987	Mobin-Uddin
4,655,771	4/7/1987	Wallsten
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4,727,873	3/1/1988	Mobin-Uddin
4,759,757	7/26/1988	Pinchuk
4,781,177	11/1/1988	Lebigot
4,793,348	12/27/1988	Palmaz
4,817,600	4/4/1989	Herms et al.
4,830,003	5/16/1989	Wolff et al.
4,832,055	5/23/1989	Palestrant
4,856,516	8/15/1989	Hillstead
4,873,978	10/17/1989	Ginsburg
4,943,297	7/24/1990	Saveliev et al.
4,950,227	8/21/1990	Savin et al.

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HOFER  
GILSON  
& LIONE

BRINKS HOFER GILSON & LIONE  
P.O. Box 10395  
Chicago, IL 60610



Document No.	Date of Publication	Patentee/Applicant/Assignee
4,954,126	9/4/1990	Wallsten
4,957,501	9/18/1990	Lahille et al.
4,969,891	11/13/1990	Gewertz
4,990,156	2/5/1991	Lefebvre
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5,061,275	10/29/1991	Wallsten et al.
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5,147,379	9/15/1992	Sabbaghian et al.
5,152,777	10/6/1992	Goldberg et al.
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5,217,484	6/8/1993	Marks
5,221,261	6/22/1993	Termin et al.
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5,234,458	8/10/1993	Metais
5,242,462	9/7/1993	El-Nounou et al.
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5,681,347	10/28/1997	Cathcart et al.
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5,695,519	12/9/1997	Summers et al.
5,709,704	1/20/1998	Nott et al.
5,720,764	2/24/1998	Naderlinger
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Document No.	Date of Publication	Patentee/Applicant/Assignee
5,755,790	5/26/1998	Chevillon et al.
5,776,162	7/7/1998	Kleshinski
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5,836,968	11/17/1998	Simon et al.
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Document No.	Date of Publication	Patentee/Applicant/Assignee
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US 2003/0130681 A1	7/10/2003	Ungs
US 2003/0144686 A1	7/31/2003	Martinez et al.
US 2003/0153943 A1	8/14/2003	Michael et al.

A copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2) is also enclosed. Applicant respectfully solicits the Examiner's consideration of the listed references and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Commissioner is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

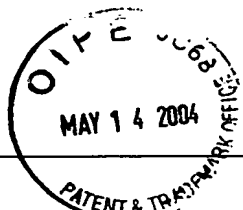
May 13, 2004

Date



Lawrence G. Almeda (Reg. No. 46,151)

Enclosures: Form PTO-1449 (one sheet)



FORM PTO-1449	APPLICATION NO.	CASE NO. 8627-451
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE	ART UNIT
Sheet 1 of 7	APPLICANT(S): THOMAS A. OSBORNE	

## REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	2,281,448	4/28/1942	Mathey		
	3,174,851	3/23/1965	Buehler		
	3,334,629	8/8/1967	Cohn		
	3,540,431	11/17/1970	Mobin-Uddin		
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	3,952,747	4/27/1976	Kimmell, Jr.		
	4,425,908	1/17/1984	Simon		
	4,494,531	1/22/1985	Gianturco		
	4,619,246	10/28/1986	Molgaard-Nielsen et al.		
	4,643,184	2/17/1987	Mobin-Uddin		
	4,655,771	4/7/1987	Wallsten		
	4,665,906	5/19/1987	Jervis		
	4,688,553	8/25/1987	Metals		
	4,727,873	3/1/1988	Mobin-Uddin		
	4,759,757	7/26/1988	Pinchuk		
	4,781,177	11/1/1988	Lebigot		
	4,793,348	12/27/1988	Palmaz		
	4,817,600	4/4/1989	Herms et al.		
	4,830,003	5/16/1989	Wolff et al.		
	4,832,055	5/23/1989	Palestrant		
	4,856,516	8/15/1989	Hillstead		
	4,873,978	10/17/1989	Ginsburg		
	4,943,297	7/24/1990	Saveliev et al.		
	4,950,227	8/21/1990	Savin et al.		
	4,954,126	9/4/1990	Wallsten		
	4,957,501	9/18/1990	Lahille et al.		
	4,969,891	11/13/1990	Gewertz		
	4,990,156	2/5/1991	Lefebvre		

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449	APPLICATION NO.	CASE NO. 8627-451
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE	ART UNIT
Sheet 2 of 7	APPLICANT(S): THOMAS A. OSBORNE	

**REFERENCE DESIGNATION U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	5,037,377	8/6/1991	Alonso		
	5,059,205	10/22/1991	El-Nounou et al.		
	5,061,275	10/29/1991	Wallsten et al.		
	5,067,957	11/26/1991	Jervis		
	5,071,407	12/10/1991	Termin et al.		
	5,108,418	4/28/1992	Lefebvre		
	5,108,419	4/28/1992	Reger et al.		
	5,133,733	7/28/1992	Rasmussen et al.		
	5,135,516	8/4/1992	Sahatjian et al.		
	5,147,379	9/15/1992	Sabbaghian et al.		
	5,152,777	10/6/1992	Goldberg et al.		
	5,160,342	11/3/1992	Reger et al.		
	5,190,546	3/2/1993	Jervis		
	5,217,484	6/8/1993	Marks		
	5,221,261	6/22/1993	Termin et al.		
	5,224,953	7/6/1993	Morgentaler		
	5,234,458	8/10/1993	Metais		
	5,242,462	9/7/1993	El-Nounou et al.		
	5,300,086	8/5/1994	Gory et al.		
	5,304,121	4/19/1994	Sahatjian		
	5,304,200	4/19/1994	Spaulding		
	5,324,304	6/28/1994	Rasmussen		
	5,329,942	7/19/1994	Gunther et al.		
	5,344,427	9/6/1994	Cottenceau et al.		
	5,350,398	9/27/1994	Pavcnik et al.		
	5,370,657	12/6/1994	Irie		
	5,375,612	12/27/1994	Cottenceau et al.		
	5,382,261	1/17/1995	Palmaz		
	5,383,887	1/24/1995	Nadal		
	5,405,377	4/11/1995	Cragg		

**FOREIGN PATENT DOCUMENTS**

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<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>	FILING DATE	ART UNIT
Sheet 3 of 7	APPLICANT(S): THOMAS A. OSBORNE	

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS				
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		5,413,586	9/9/1995	Dibie et al.		
		5,421,832	6/6/1995	Lefebvre		
		5,476,508	12/19/1995	Amstrup		
		5,522,822	6/4/1996	Phelps et al.		
		5,527,338	6/18/1996	Purdy		
		5,540,712	7/30/1996	Kleshinski et al.		
		5,549,626	8/27/1996	Miller et al.		
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		5,601,595	2/11/1997	Smith		
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		5,634,942	6/3/1997	Chevillon et al.		
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		5,709,704	1/20/1998	Nott et al.		
		5,720,764	2/24/1998	Naderlinger		
		5,725,550	3/10/1998	Nadal		
		5,746,767	5/5/1998	Smith		
		5,755,778	5/26/1998	Kleshinski		
		5,755,790	5/26/1998	Chevillon et al.		
		5,776,162	7/7/1998	Kleshinski		
		5,800,457	9/1/1998	Gelbfish		
		5,800,525	9/1/1998	Bachinski et al.		
		5,807,398	9/15/1998	Shaknovich		
		5,810,874	9/22/1998	Lefebvre		
		5,836,968	11/17/1998	Simon et al.		
		5,836,969	11/17/1998	Kim et al.		

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		5,902,317	5/11/1999	Kleshinski et al.		
		5,916,235	6/29/1999	Guglielmi		
		5,928,260	7/27/1999	Chin et al.		
		5,928,261	7/27/1999	Ruiz		
		5,938,683	8/17/1999	Lefebvre		
		5,951,585	9/14/1999	Cathcart et al.		
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)				

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FORM PTO-1449	APPLICATION NO.	CASE NO. 8627-451
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE	ART UNIT
Sheet 5 of 7	APPLICANT(S): THOMAS A. OSBORNE	

**REFERENCE DESIGNATION U.S. PATENT DOCUMENTS**

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**REFERENCE DESIGNATION U.S. PATENT DOCUMENTS**

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